



The data link layer needs to pack bits into frames, so that each frame is distinguishable from another. Our postal system practices a type of framing. The simple act of inserting a letter into an envelope separates one piece of information from another; the envelope serves as the delimiter.

Topics discussed in this section:

Fixed-Size Framing Variable-Size Framing





Data from upper layer Variable number of characters Flag Header ••• Trailer Flag













Byte stuffing is the process of adding 1 extra byte whenever there is a flag or escape character in the text.









receiver does not mistake the pattern 0111110 for a flag.







11-2 FLOW AND ERROR CONTROL

The most important responsibilities of the data link layer are flow control and error control. Collectively, these functions are known as data link control.

Topics discussed in this section: Flow Control Error Control







Flow control refers to a set of procedures used to restrict the amount of data that the sender can send before waiting for acknowledgment.







Error control in the data link layer is based on automatic repeat request, which is the retransmission of data.

11-3 PROTOCOLS

Now let us see how the data link layer can combine framing, flow control, and error control to achieve the delivery of data from one node to another. The protocols are normally implemented in software by using one of the common programming languages. To make our discussions language-free, we have written in pseudocode a version of each protocol that concentrates mostly on the procedure instead of delving into the details of language rules. ure 11.5 Taxonomy of protocols discussed in this chapter





11-4 NOISELESS CHANNELS

Let us first assume we have an ideal channel in which no frames are lost, duplicated, or corrupted. We introduce two protocols for this type of channel.

Topics discussed in this section:

Simplest Protocol Stop-and-Wait Protocol

are 11.6 The design of the simplest protocol with no flow or error control





orithm 11.1 Sender-site algorithm for the simplest protocol

```
while(true)
                                    // Repeat forever
 1
 2
   {
3
    WaitForEvent();
                                   // Sleep until an event occurs
     if(Event(RequestToSend))
                                   //There is a packet to send
4
5
     {
6
        GetData();
        MakeFrame();
7
        SendFrame();
                                   //Send the frame
8
 9
     Ł
10
```



gorithm 11.2 Receiver-site algorithm for the simplest protocol

```
while(true)
 1
                                     // Repeat forever
 2
 3
    WaitForEvent();
                                     // Sleep until an event occurs
     if(Event(ArrivalNotification)) //Data frame arrived
4
 5
     {
        ReceiveFrame();
 6
 7
        ExtractData();
                                    //Deliver data to network layer
8
        DeliverData();
9
     }
10
   1}
```





Figure 11.7 shows an example of communication using this protocol. It is very simple. The sender sends a sequence of frames without even thinking about the receiver. To send three frames, three events occur at the sender site and three events at the receiver site. Note that the data frames are shown by tilted boxes; the height of the box defines the transmission time difference between the first bit and the last bit in the frame.







Generation of Stop-and-Wait Protocol





Agorithm 11.3 Sender-site algorithm for Stop-and-Wait Protocol



```
while(true)
                                  //Repeat forever
 1
 2
   canSend = true
                                   //Allow the first frame to go
 3
 4
    WaitForEvent();
                     // Sleep until an event occurs
 5
     if (Event (Request To Send) AND can Send)
 6
     ſ
 7
        GetData();
        MakeFrame();
 8
 9
        SendFrame();
                                //Send the data frame
10
                                //Cannot send until ACK arrives
        canSend = false;
11
    }
12
    WaitForEvent();
                                  // Sleep until an event occurs
     if (Event (ArrivalNotification) // An ACK has arrived
13
14
      {
15
        ReceiveFrame();
                                 //Receive the ACK frame
        canSend = true;
16
17
      }
18
```

orithm 11.4 Receiver-site algorithm for Stop-and-Wait Protocol



```
while(true)
                                    //Repeat forever
 1
 2
3
    WaitForEvent();
                      // Sleep until an event occurs
     if (Event (ArrivalNotification)) //Data frame arrives
4
 5
     {
       ReceiveFrame();
 6
 7
       ExtractData();
8
       Deliver(data);
                                   //Deliver data to network layer
        SendFrame();
                                    //Send an ACK frame
9
10
     }
11
```





Figure 11.9 shows an example of communication using this protocol. It is still very simple. The sender sends one frame and waits for feedback from the receiver. When the ACK arrives, the sender sends the next frame. Note that sending two frames in the protocol involves the sender in four events and the receiver in two events.







Although the Stop-and-Wait Protocol gives us an idea of how to add flow control to its predecessor, noiseless channels are nonexistent. We discuss three protocols in this section that use error control.

Topics discussed in this section:

Stop-and-Wait Automatic Repeat Request Go-Back-N Automatic Repeat Request Selective Repeat Automatic Repeat Request





Error correction in Stop-and-Wait ARQ is done by keeping a copy of the sent frame and retransmitting of the frame when the timer expires.





In Stop-and-Wait ARQ, we use sequence numbers to number the frames. The sequence numbers are based on modulo-2 arithmetic.





gure 11.10 Design of the Stop-and-Wait ARQ Protocol





gorithm 11.5 Sender-site algorithm for Stop-and-Wait ARQ

```
S_n = 0;
 1
                                     // Frame 0 should be sent first
   canSend = true;
 2
                                     // Allow the first request to go
   while(true)
 3
                                     // Repeat forever
 4
     WaitForEvent(); // Sleep until an event occurs
 5
      if (Event (Request To Send) AND can Send)
 6
 7
      {
         GetData();
 8
         MakeFrame(S<sub>n</sub>);
 9
                                                //The seqNo is S<sub>n</sub>
         StoreFrame(S<sub>n</sub>);
10
                                                //Keep copy
11
         SendFrame(S<sub>n</sub>);
12
         StartTimer();
         S_n = S_n + 1;
13
14
         canSend = false;
15
      }
16
      WaitForEvent();
                                                // Sleep
```

(continued)

gorithm 11.5 Sender-site algorithm for Stop-and-Wait ARQ



```
if (Event (ArrivalNotification) // An ACK has arrived
17
18
                                  //Receive the ACK frame
19
          ReceiveFrame(ackNo);
20
          if (not corrupted AND ackNo == S_n) //Valid ACK
21
            Ł
22
              Stoptimer();
23
                                           //Copy is not needed
              PurgeFrame(S<sub>n-1</sub>);
24
              canSend = true;
25
            }
26
         }
27
28
        if (Event (TimeOut)
                                             // The timer expired
29
        £
         StartTimer();
30
31
        ResendFrame(S<sub>n-1</sub>);
                                             //Resend a copy check
32
        }
33
```



orithm 11.6 Receiver-site algorithm for Stop-and-Wait ARQ Protoco

```
R_n = 0;
                              // Frame 0 expected to arrive first
 1
   while(true)
 2
 3
 4
     WaitForEvent(); // Sleep until an event occurs
     if(Event(ArrivalNotification)) //Data frame arrives
 5
 6
     {
 7
        ReceiveFrame():
        if(corrupted(frame));
 8
 9
            sleep();
10
                                       //Valid data frame
        if(seqNo == R_n)
11
12
         ExtractData();
13
          DeliverData();
                                       //Deliver data
14
          R_n = R_n + 1;
15
         }
16
                                        //Send an ACK
         SendFrame(R<sub>n</sub>);
17
     }
18
```





Figure 11.11 shows an example of Stop-and-Wait ARQ. Frame 0 is sent and acknowledged. Frame 1 is lost and resent after the time-out. The resent frame 1 is acknowledged and the timer stops. Frame 0 is sent and acknowledged, but the acknowledgment is lost. The sender has no idea if the frame or the acknowledgment is lost, so after the time-out, it resends frame 0, which is acknowledged.



Figure 11.11 Flow diagram for Example 11.3



11.33





Assume that, in a Stop-and-Wait ARQ system, the bandwidth of the line is 1 Mbps, and 1 bit takes 20 ms to make a round trip. What is the bandwidth-delay product? If the system data frames are 1000 bits in length, what is the utilization percentage of the link?

Solution The bandwidth-delay product is

 $(1 \times 10^6) \times (20 \times 10^{-3}) = 20,000$ bits





The system can send 20,000 bits during the time it takes for the data to go from the sender to the receiver and then back again. However, the system sends only 1000 bits. We can say that the link utilization is only 1000/20,000, or 5 percent. For this reason, for a link with a high bandwidth or long delay, the use of Stop-and-Wait ARQ wastes the capacity of the link.





What is the utilization percentage of the link in Example 11.4 if we have a protocol that can send up to 15 frames before stopping and worrying about the acknowledgments?

Solution

The bandwidth-delay product is still 20,000 bits. The system can send up to 15 frames or 15,000 bits during a round trip. This means the utilization is 15,000/20,000, or 75 percent. Of course, if there are damaged frames, the utilization percentage is much less because frames have to be resent.

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In the Go-Back-N Protocol, the sequence numbers are modulo 2^m, where m is the size of the sequence number field in bits.

Equre 11.12 Send window for Go-Back-NARQ





a. Send window before sliding



b. Send window after sliding







The send window is an abstract concept defining an imaginary box of size 2^m – 1 with three variables: S_f, S_n, and S_{size}.







The send window can slide one or more slots when a valid acknowledgment arrives.







a. Receive window



b. Window after sliding





ure 11.15 Window size for Go-Back-NARQ









In Go-Back-N ARQ, the size of the send window must be less than 2^m; the size of the receiver window is always 1.





```
S_w = 2^m - 1;
 2
   S_{f} = 0;
 3
   S_n = 0;
 4
 5
   while (true)
                                             //Repeat forever
 6
 7
    WaitForEvent();
 8
      if (Event (RequestToSend))
                                             //A packet to send
 9
      {
10
          if(S_n - S_f \ge S_w)
                                             //If window is full
11
                 Sleep();
12
          GetData();
13
          MakeFrame(S_n);
14
          StoreFrame(S<sub>n</sub>);
15
          SendFrame(S<sub>n</sub>);
16
          S_n = S_n + 1;
17
          if(timer not running)
                StartTimer();
18
19
      }
20
```

(continued) Mr.K.K.RAJKUMAR/Communication Networks/19EC501

```
(continued
 Igorithm 11.7 Go-Back-N sender algorithm
A. I.
      if (Event (ArrivalNotification)) //ACK arrives
21
22
      {
          Receive(ACK);
23
          if(corrupted(ACK))
24
25
                Sleep();
26
          if((ackNo>S<sub>f</sub>)&&(ackNo<=S<sub>n</sub>)) //If a valid ACK
          While (S_f \ll ackNo)
27
28
            £
29
            PurgeFrame(S<sub>f</sub>);
30
            S_{f} = S_{f} + 1;
31
32
           StopTimer();
33
      }
34
35
      if(Event(TimeOut))
                                              //The timer expires
36
      {
       StartTimer();
37
38
       Temp = S_f;
39
       while (Temp < S_n);
40
         Ł
41
          SendFrame(S<sub>f</sub>);
42
          S_{f} = S_{f} + 1;
43
         ł
44
      }
45
    1
```

11.47





```
1
   R_n = 0;
 2
 3
   while (true)
                                         //Repeat forever
 4
 5
     WaitForEvent();
 6
 7
     if (Event (ArrivalNotification)) /Data frame arrives
 8
      {
 9
         Receive(Frame);
10
         if(corrupted(Frame))
11
              Sleep();
12
         if(seqNo == R_n)
                                        //If expected frame
13
         ł
14
           DeliverData();
                                        //Deliver data
15
                                        //Slide window
           R_n = R_n + 1;
16
           SendACK(R<sub>n</sub>);
17
         ŀ
18
     }
19
   1
```





Figure 11.16 shows an example of Go-Back-N. This is an example of a case where the forward channel is reliable, but the reverse is not. No data frames are lost, but some ACKs are delayed and one is lost. The example also shows how cumulative acknowledgments can help if acknowledgments are delayed or lost. After initialization, there are seven sender events. Request events are triggered by data from the network layer; arrival events are triggered by acknowledgments from the physical layer. There is no time-out event here because all outstanding frames are acknowledged before the timer expires. Note that although ACK 2 is lost, ACK 3 serves as both ACK 2 and ACK 3.

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Figure 11.16 Flow diagram for Example 11.6









Figure 11.17 shows what happens when a frame is lost. Frames 0, 1, 2, and 3 are sent. However, frame 1 is lost. The receiver receives frames 2 and 3, but they are discarded because they are received out of order. The sender receives no acknowledgment about frames 1, 2, or 3. Its timer finally expires. The sender sends all outstanding frames (1, 2, and 3) because it does not know what is wrong. Note that the resending of frames 1, 2, and 3 is the response to one single event. When the sender is responding to this event, it cannot accept the triggering of other events. This means that when ACK 2 arrives, the sender is still busy with sending frame 3.





The physical layer must wait until this event is completed and the data link layer goes back to its sleeping state. We have shown a vertical line to indicate the delay. It is the same story with ACK 3; but when ACK 3 arrives, the sender is busy responding to ACK 2. It happens again when ACK 4 arrives. Note that before the second timer expires, all outstanding frames have been sent and the timer is stopped.









Stop-and-Wait ARQ is a special case of Go-Back-N ARQ in which the size of the send window is 1.













Solution of Selective Repeat ARQ





ure 11.21 Selective Repeat ARQ, window size











In Selective Repeat ARQ, the size of the sender and receiver window must be at most one-half of 2^m.

Algorithm 11.9 Sender-site Selective Repeat algorithm



```
S_w = 2^{m-1};
 1
 2
   S_{f} = 0;
 3
    S_n = 0;
 4
 5
   while (true)
                                              //Repeat forever
 6
 7
      WaitForEvent();
      if (Event (RequestToSend))
                                              //There is a packet to send
 8
 9
       ſ
          if(S_n-S_f \ge S_w)
                                             //If window is full
10
11
                 Sleep();
12
          GetData();
13
          MakeFrame(S<sub>n</sub>);
14
          StoreFrame(S<sub>n</sub>);
15
          SendFrame(S<sub>n</sub>);
16
          S_n = S_n + 1;
          StartTimer(S_n);
17
18
      }
19
                                                                 (continued)
```



42	orithm 11.9 Sender-site Selective	Repeat algorithm	(continued)
43	<pre>if(Event(TimeOut(t)))</pre>	//The timer	expires
44	{		
45	<pre>StartTimer(t);</pre>		
46	SendFrame(t);		
47	}		
48	}		

Algorithm 11.10 Receiver-site Selective Repeat algorithm



```
1
       R_n = 0;
     2 NakSent = false;
     3 AckNeeded = false;
       Repeat(for all slots)
     4
           Marked(slot) = false;
     5
     6
     7
       while (true)
                                                      //Repeat forever
    8
    9
         WaitForEvent();
   10
   11
         if(Event(ArrivalNotification))
                                                      /Data frame arrives
   12
         ſ
   13
             Receive(Frame);
   14
             if(corrupted(Frame))&& (NOT NakSent)
   15
   16
              SendNAK(R<sub>n</sub>);
   17
              NakSent = true;
   18
              Sleep();
   19
             }
   20
             if(seqNo <> R<sub>n</sub>)&& (NOT NakSent)
   21
             {
   22
              SendNAK(R<sub>n</sub>);
                   WIT.N.R.RAJNUWAR/COMMUNICATION NETWORKS/19ECOUT
11.63
```

Selective Repeat algorithm



```
23
           NakSent = true;
           if ((seqNo in window)&&(!Marked(seqNo))
24
25
26
            StoreFrame(seqNo)
27
            Marked(seqNo) = true;
28
            while(Marked(R<sub>n</sub>))
29
             DeliverData(R<sub>n</sub>);
30
31
             Purge(R_n);
32
             R_n = R_n + 1;
             AckNeeded = true;
33
34
             3
35
              if(AckNeeded);
36
              £
              SendAck(R<sub>n</sub>);
37
              AckNeeded = false;
38
39
             NakSent = false;
40
              }
41
           }
42
          3
43
      }
44
```







a. Before delivery



b. After delivery





This example is similar to Example 11.3 in which frame 1 is lost. We show how Selective Repeat behaves in this case. Figure 11.23 shows the situation. One main difference is the number of timers. Here, each frame sent or resent needs a timer, which means that the timers need to be numbered (0, 1, 2, and 3). The timer for frame 0 starts at the first request, but stops when the ACK for this frame arrives. The timer for frame 1 starts at the second request, restarts when a NAK arrives, and finally stops when the last ACK arrives. The other two timers start when the corresponding frames are sent and stop at the last arrival event.





At the receiver site we need to distinguish between the acceptance of a frame and its delivery to the network layer. At the second arrival, frame 2 arrives and is stored and marked, but it cannot be delivered because frame 1 is missing. At the next arrival, frame 3 arrives and is marked and stored, but still none of the frames can be delivered. Only at the last arrival, when finally a copy of frame 1 arrives, can frames 1, 2, and 3 be delivered to the network layer. There are two conditions for the delivery of frames to the network layer: First, a set of consecutive frames must have arrived. Second, the set starts from the beginning of the window.





Another important point is that a NAK is sent after the second arrival, but not after the third, although both situations look the same. The reason is that the protocol does not want to crowd the network with unnecessary NAKs and unnecessary resent frames. The second NAK would still be NAK1 to inform the sender to resend frame 1 again; this has already been done. The first NAK sent is remembered (using the nakSent variable) and is not sent again until the frame slides. A NAK is sent once for each window position and defines the first slot in the window.





The next point is about the ACKs. Notice that only two ACKs are sent here. The first one acknowledges only the first frame; the second one acknowledges three frames. In Selective Repeat, ACKs are sent when data are delivered to the network layer. If the data belonging to n frames are delivered in one shot, only one ACK is sent for all of them.







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ure 11.24 Design of piggybacking in Go-Back-NARQ



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11-6 HDLC

High-level Data Link Control (HDLC) is a bit-oriented protocol for communication over point-to-point and multipoint links. It implements the ARQ mechanisms we discussed in this chapter.

Topics discussed in this section: Configurations and Transfer Modes Frames Control Field




a. Point-to-point



b. Multipoint







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ure 11.27 HDLC frames





Example 11.28 Control field format for the different frame types









Table 11.1 U-frame control command and response

Code	Command	Response	Meaning
00 001	SNRM		Set normal response mode
11 011	SNRME		Set normal response mode, extended
11 100	SABM	DM	Set asynchronous balanced mode or disconnect mode
11 110	SABME		Set asynchronous balanced mode, extended
00 000	UI	UI	Unnumbered information
00 110		UA	Unnumbered acknowledgment
00 010	DISC	RD	Disconnect or request disconnect
10 000	SIM	RIM	Set initialization mode or request information mode
00 100	UP		Unnumbered poll
11 001	RSET		Reset
11 101	XID	XID	Exchange ID
10 001	FRMR	FRMR	Frame reject





Figure 11.29 shows how U-frames can be used for connection establishment and connection release. Node A asks for a connection with a set asynchronous balanced mode (SABM) frame; node B gives a positive response with an unnumbered acknowledgment (UA) frame. After these two exchanges, data can be transferred between the two nodes (not shown in the figure). After data transfer, node A sends a DISC (disconnect) frame to release the connection; it is confirmed by node B responding with a UA (unnumbered acknowledgment).



ure 11.29 Example of connection and disconnection



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Figure 11.30 shows an exchange using piggybacking. Node A begins the exchange of information with an I-frame numbered 0 followed by another I-frame numbered 1. Node B piggybacks its acknowledgment of both frames onto an I-frame of its own. Node B's first I-frame is also numbered 0 [N(S) field] and contains a 2 in its N(R) field, acknowledging the receipt of A's frames 1 and 0 and indicating that it expects frame 2 to arrive next. Node B transmits its second and third I-frames (numbered 1 and 2) before accepting further frames from node A.





Its N(R) information, therefore, has not changed: B frames 1 and 2 indicate that node B is still expecting A's frame 2 to arrive next. Node A has sent all its data. Therefore, it cannot piggyback an acknowledgment onto an I-frame and sends an S-frame instead. The RR code indicates that A is still ready to receive. The number 3 in the N(R) field tells B that frames 0, 1, and 2 have all been accepted and that A is now expecting frame number 3.

Example of piggybacking without error









Figure 11.31 shows an exchange in which a frame is lost. Node B sends three data frames (0, 1, and 2), but frame 1 is lost. When node A receives frame 2, it discards it and sends a REJ frame for frame 1. Note that the protocol being used is Go-Back-N with the special use of an REJ frame as a NAK frame. The NAK frame does two things here: It confirms the receipt of frame 0 and declares that frame 1 and any following frames must be resent. Node B, after receiving the REJ frame, resends frames 1 and 2. Node A acknowledges the receipt by sending an RR frame (ACK) with acknowledgment number 3.

Example of piggybacking with error





11-7 POINT-TO-POINT PROTOCOL

Although HDLC is a general protocol that can be used for both point-to-point and multipoint configurations, one of the most common protocols for point-to-point access is the Point-to-Point Protocol (PPP). PPP is a byte-oriented protocol.

Topics discussed in this section:

Framing Transition Phases Multiplexing Multilink PPP

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PPP is a byte-oriented protocol using byte stuffing with the escape byte 01111101.























Code	Packet Type	Description
0x01	Configure-request	Contains the list of proposed options and their values
0x02	Configure-ack	Accepts all options proposed
0x03	Configure-nak	Announces that some options are not acceptable
0x04	Configure-reject	Announces that some options are not recognized
0x05	Terminate-request	Request to shut down the line
0x06	Terminate-ack	Accept the shutdown request
0x07	Code-reject	Announces an unknown code
0x08	Protocol-reject	Announces an unknown protocol
0x09	Echo-request	A type of hello message to check if the other end is alive
0x0A	Echo-reply	The response to the echo-request message
0x0B	Discard-request	A request to discard the packet





Table 11.3 Common options

Option	Default
Maximum receive unit (payload field size)	1500
Authentication protocol	None
Protocol field compression	Off
Address and control field compression	Off

ure 11.36 PAP packets encapsulated in a PPP frame







ure 11.37 CHAP packets encapsulated in a PPP frame













Table 11.4 Code value for IPCP packets

Code	IPCP Packet		
0x01	Configure-request		
0x02	Configure-ack		
0x03	Configure-nak		
0x04	Configure-reject		
0x05	Terminate-request		
0x06	Terminate-ack		
0x07	Code-reject		











Logical PPP







Let us go through the phases followed by a network layer packet as it is transmitted through a PPP connection. Figure 11.41 shows the steps. For simplicity, we assume unidirectional movement of data from the user site to the system site (such as sending an e-mail through an ISP).

The first two frames show link establishment. We have chosen two options (not shown in the figure): using PAP for authentication and suppressing the address control fields. Frames 3 and 4 are for authentication. Frames 5 and 6 establish the network layer connection using IPCP.





The next several frames show that some IP packets are encapsulated in the PPP frame. The system (receiver) may have been running several network layer protocols, but it knows that the incoming data must be delivered to the IP protocol because the NCP protocol used before the data transfer was IPCP.

After data transfer, the user then terminates the data link connection, which is acknowledged by the system. Of course the user or the system could have chosen to terminate the network layer IPCP and keep the data link layer running if it wanted to run another NCP protocol. Mr.K.K.RAJKUMAR/Communication Networks/19EC501 11.100







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Sure 11.41 An example (continued)



